

**INFORMATION DISCLOSURE  
CITATION**

ATTY. DOCKET NO.

SERIAL NO.

1201-86

09/770,216

APPLICANT

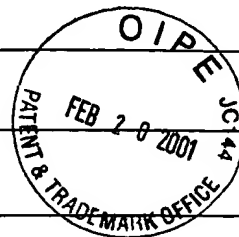
SCHNEIDER et al

FILING DATE

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January 29, 2001

(Use several sheets if necessary)


**U.S. PATENT DOCUMENTS**

*EXAMINER INITIAL	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
3	5,776,429	7/1998	Unger et al	1	1	
	5,542,935	8/1996	Unger et al			
	5,837,221	11/1998	Bernstein et al			
	5,558,856	9/1996	Klaveness et al			
	5,352,436	10/1994	Wheatley et al			
	5,141,738	8/1992	Rasor et al			
	6,071,495	6/2000	Unger et al			
	5,469,854	11/1995	Unger et al			
	5,776,429	7/1998	Unger et al			
	5,846,518	12/1998	Yan et al			
	5,853,752	12/1998	Unger et al			

**FOREIGN PATENT DOCUMENTS**

DOCUMENT	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION YES NO
3	WO 93/13802	7/1993	PCT		
	WO 95/22994	8/1995	PCT		
	WO 99/27981	6/1999	PCT		
	WO 99/07415	2/1999	PCT		
	0 401 952 A2	12/1990	Europe		

**OTHER DOCUMENTS (including Author, Title, Date, Pertinent pages, etc.)**

	LIN et al, "The effect of positive and negative...", Biochimica et Biophysica Acta 1147, 237-244, 1993
	HAZEMOTO et al, "pH-Sensitive Liposomes Composed...", Chem. Pharm. Bull 38(3), 748-751, 1990
	GURSEL et al, "Influence of membrane components...", J. Microencapsulation Vol.12 No.6, 661-669, 1995
	MAZA et al, "Solubilization of unilamellar...", Journal of Controlled Release 37 (1995) 33-42

\*Examiner

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### U.S. PATENT DOCUMENTS

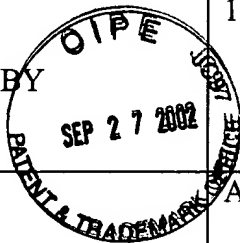
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	AA	5,730,954	3/24/98	Albayrak et al.			
	AB	5,599,523	2/4/97	Beller			
	AC	4,832,941	5/23/89	Berwing			
	AD	5,711,933	1/27/98	Bichon et al.			
	AE	4,957,656	9/18/90	Cerny			
	AF	4,684,479	8/4/87	D'Arrigo			
	AG	4,859,363	8/22/89	Davis			
	AH	3,615,972	N/A	Dow Chemical			
	AI	5,137,928	8/11/92	Erbel			
	AJ	5,190,982	3/2/93	Erbel			
	AK	5,205,287	4/27/93	Erbel			
	AL	4,370,349	1/25/83	Evans			
	AM	4,718,433	1/12/88	Feinstein			
	AN	4,572,203	2/25/86	Feinstein			
	AO	4,774,958	10/4/88	Feinstein			
	AP	5,049,322	9/17/91	Fessi			
	AQ	5,283,067	1/1/94	Geller			
	AR	5,147,631	9/15/92	Glajch et al.			
	AS	5,364,612	11/15/94	Goldenberg			
	AT	5,089,181	2/18/92	Hauser			
	AU	4,466,442	8/21/84	Hilman et al.			
	AV	5,536,490	7/16/96	Klaveness			
	AW	5,567,413	10/22/96	Klaveness			
	AX	5,558,856	9/24/96	Klaveness et al.			
	AY	3,650,831	N/A	Jungermann			
	AZ	5,552,133	9/3/96	Lambert et al.			
	AAA	5,536,489	7/16/96	Lohrmann			
	ABB	5,716,597	2/10/98	Lohrmann et al.			
	ACC	4,927,623	5/22/90	Long			
	ADD	4,192,859	3/11/80	Mackanness, et al			
	AEE	4,027,007	5/31/77	Messina			

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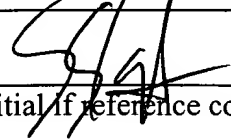
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	AFF	5,601,085	2/11/97	Østensen			
	AGG	4,235,871	11/25/80	Papahadjopoulos , et al.			
	AHH	5,409,688	4/25/95	Quay			
	AII	5,393,524	2/28/95	Quay			
	AJJ	4,442,843	4/17/84	Rasor			
	AKK	4,657,756	4/14/87	Rasor			
	ALL	4,681,119	7/21/87	Rasor			
	AMM	5,141,738	8/25/92	Rasor			
	ANN	5,425,366	6/20/95	Reinhardt			
	AOO	5,501,863	3/26/96	Rössling et al.			
	APP	5,593,687	1/14/97	Rössling et al.			
	AQQ	4,544,545	10/1/85	Ryan			
	ARR	4,900,540	2/13/90	Ryan et al.			
	ASS	4,224,179	9/23/80	Schneider			
	ATT	4,229,360	10/21/80	Schneider			
	AUU	5,271,928	12/21/93	Schneider			
	AVV	5,312,615	5/17/94	Schneider			
	AWW	5,380,519	1/10/95	Schneider			
	AXX	5,413,774	5/9/95	Schneider			
	AYY	5,445,813	8/29/95	Schneider			
	AZZ	5,531,980	7/2/96	Schneider			
	AAAA	5,643,553	7/1/97	Schneider			
	ABBB	5,658,551	8/19/97	Schneider			
	ACCC	5,567,414	10/22/96	Schneider			
	ADDD	5,639,443	6/17/97	Schutt et al.			
	AEEE	5,195,520	3/23/93	Schlieff			
	AFFF	5,380,411	1/10/95	Schlieff			
	AGGG	3,900,420	8/19/75	Sebba			
	AHHH	3,968,203	7/6/76	Spitzer			
	AIII	5,425,366	6/20/95	Stein			
	AJJJ	4,265,251	5/5/81	Tickner			

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	AKKK	4,316,391	2/23/82	Tickner			
	ALLL	4,276,885	7/7/81	Tickner et al.			
	AMMM	5,088,499	2/18/92	Unger			
	ANNN	5,123,414	6/23/92	Unger			
	AOOO	5,149,319	9/22/92	Unger			
	APPP	5,209,720	5/11/93	Unger			
	AQQQ	5,874,062	2/23/99	Unger			
	ARRR	5,776,429	7/7/98	Unger et al.			
	ASSS	5,352,436	10/4/94	Wheatley			
	ATTT	4,844,882	7/4/89	Widder et al.			
	AUUU	5,556,610	9/17/96	Yan et al.			

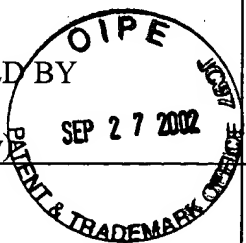
## FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	PUBLIC- ATION DATE	COUNTRY	CLASS	SUB- CLASS	TRANSLATION	
							YES	NO
	BA	ZA 910961 (Albayrak)		South Africa				
	BB	EP A 458 745 (Bichon et al.)	11/27/91	EP				
	BC	EP 359 246 A2 (Cerny)	3/21/90	EP				
	BD	EP 359 246 A3 (Cerny)	3/21/90	EP				
	BE	GB 1,044,680 (Dow Chemical)	10/5/66	Great Britain				
	BF	WO 84/02838 (Feinstein)	8/2/84	PCT				
	BG	WO 89/05160 (PCT DE 988/00751) (Fritzsch)	6/15/89	PCT			X	
	BH	WO 92/05806 (Giddey)	4/16/92	PCT				

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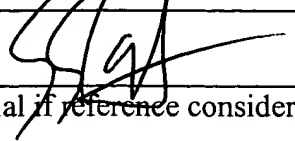
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7	BI	WO 92/19273 (EP 0 583 390) (Goldenberg)	11/12/92	PCT				
	BJ	DE A 41 27 442 (Gross)	2/18/93	Germany			X	
	BK	CA 1239092 (Hilman)	7/12/88	Canada				
	BL	CA 1232837 (Hilman)	2/16/88	Canada				
	BM	WO 91/12823 (Illum et al.)	9/5/91	PCT				
	BN	WO A 92/17212 (EP 0 576 519) (Klaveness)	10/15/92	PCT				
	BO	WO 92/17213 (EP 0 576 521) (Klaveness)	10/15/92	PCT				
	BP	WO 92/21382 (EP 0 586 524) (Klaveness)	12/10/92	PCT				
	BQ	CA 2077383 (EP 0535387) (Krone)	3/4/93	Canada				
	BR	WO 93/5819 (EP 0 605 477) (Quay)	4/1/93	PCT				
	BS	WO 94/16739 (Quay)	8/4/94	PCT				
	BT	WO 88/7365 (Ranney)	10/6/88	PCT				
	BU	EP A 131 540 (Rasor & Tickner)	1/16/85	EP			X	

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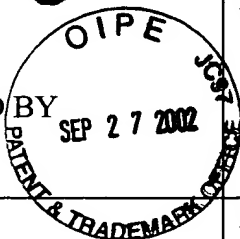
BV	GB 213 5647 (Ridgway)	9/5/84	Great Britain				
BW	WO 91/15244 (Schneider et al)	10/17/91	PCT				
BX	EP A 554 213 (Schneider)	4/8/93	EP				
BY	WO-A-85/02772 (Schroder)	7/4/85	PCT				
BZ	NZ 253115 (Stein)	1/26/96	New Zealand				
BAA	WO 92/18164 (Sutton)	10/29/92	PCT				
BBB	WO-A-80/2365 (Tickner et al.)	11/13/80	PCT				
BCC	WO 91/09629 (Unger)	7/11/91	PCT				
BDD	WO 92/22247 (Unger)	12/23/92	PCT				
BEE	WO 92/17514 (Unger)	10/15/92	PCT				
BFF	WO 93/06869 (Vanderipe)	4/15/93	PCT				
BGG	EP A 324 938 (Widder et al.)	7/26/89	EP				

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)

CA	Biochemistry/Ed. Stryer - 2 Ed., San Francisco, Freeman, pp. 208-09 (1981)
CB	Concise Encyclopedia Of Polymer Sci. and Engin., Wiley, pp. 12-13 (1990)
CC	Encyclopedia of Polymer Science and Engineering, 2nd ed., 10, p. 95, Wiley & Sons, 1987)
CD	Encyclopedia of Polymer Science and Engineering, pp. 164-69, Wiley & Sons, 1985
CE	"Freon" Fluorocarbons Properties and Applications," DuPont Technical Bulletin, pp. 1-11 (1964)
CF	"Freon" DuPont Technical Bulletin, pp. 1-10 (1987)

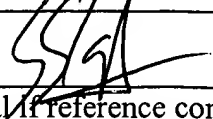
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CG	Gas Encyclopedia, Elsevier Publisher (1976), CCIF3
CH	Handbook of Pharmaceutical Excipients: Am. Pharm. Ass. 181-183 (1986)
CI	"Lecithin" in Rompp Chemie Kexikon, p. 2474 (1989-92)
CJ	"Lecithin" in Blakiston's Gould Medical Dictionary, 4th Ed., N.Y., McGraw-Hill, p. 749 (1979)
CK	"Lecithin" in Dorland's Illustrated Medical Dictionary, 26 Ed., Philadelphia, Saunders, p. 721 (1981)
CL	Remington's Pharmaceutical Sciences: Mack Publ. Comp., 295-298: 736; 1242-44 (1975)
CM	Barnhart et al., Invest. Radiol, 25, 162-164 (1990) "Characterics of Albunex"
CN	Benita et al., Journal of Pharmaceutical Science, 73, pp. 1721-1724 (1984) "Characterization of Drug Loaded Polydilactide Microspheres"
CO	Bleeker, et al., J. Acoust. Soc. Am., 87 (4), Apr. 1990, pp. 1792-1797, "Ultrasonic characterization of Albunex® ..."
CP	Bleeker, et al., 1990 J. Ultrasound Med., 9:461-471, (1999), "On the Application of Ultrasonic Contrast Agents ..."
CQ	Bommer et al., Abstract of the 54 Scient. Sessions, Circulation 64, - 203, Abst. 770 (1981)
CR	Crommelin et al., Pharm. Res., pp. 159-163 (1984) "Stability of Liposomes on Storage ..."
CS	Crommelin et al., Liposomes as Drugs Carriers Symp., pp. 88-93 (1986) "Freezing and Freeze Drying of Liposomes"
CT	de Gruyter, "Phospholipids", Concise Encyclopedia of Biochemistry, Berlin, pp. 348-49 (1983)
CU	deJong, et al., Ultrasonics, 1992, Vol. 30, No. 2, pp. 95-103, "Absorption and scatter of encapsulated gas filled microspheres ..."
CV	deJong, et al., Ultrasonics, 1991, Vol. 29 Jul., pp. 324-330, "Principles and recent developments in ultrasound"
CW	Edwards, et al. J. Acoust. Soc. Am., Vol. 70, No. 3 (1983), "Scattering of focused ultrasound by spherical microparticles"
CX	Epstein, et al., J. Chem. Physics, Vol. 18, No. 11, pp. 1505-1509, Nov. 1950, "On the Stability of Gas Bubbles in Liquid-Gas Solutions"

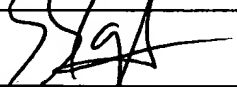
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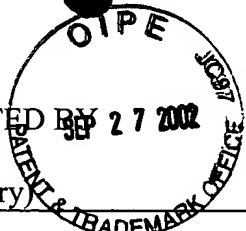
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CY	Feinstein, et al., <u>JACC</u> , Vol. 16, No. 2, 8/90:316-24, "Safety and Efficacy of a New Transpulmonary Ultrasound Agent...", <u>Amer. Hearts Assn. Monograph Circulation</u> , Part II, Vol. 72, No. 4, Oct. 1985, <u>Abstracts of the 58<sup>th</sup> Scientific Ses.</u>
CZ	Feinstein, et al., <u>Am. J. of Physiologic Imaging</u> , 1:12-18 (1986), "Myocardial Contrast Echocardiography . . ."
CAA	Feinstein, J. of the <u>Amer. Coll. of Cardiol.</u> , 8:251-253 (1986) "Myocardial Perfusion Imaging . . ."
CBB	H. Fessi, et al., "Nanocapsule formation by interfacial polymer deposition...", <u>Int. J. Pharm.</u> , 1989, Vol. 55, No. 1, pp. R1-R4
CCC	Fieser and Fieser, <u>Organic Chemistry</u> , 3rd ed. (1956) p. 847
CDD	Fobbe, et al., <u>Fortschr. Rontgenstr.</u> , 154.3 (1991) 242-245, "Farbkodierte Duplexsonographische und Ultraschallkontrast mittel . . ."
CEE	Ganguly et al., "Structure of hollow polystyrene microspheres..." <u>J. Microencapsulation</u> , Vol. 6, No. 2, 193-198 (1989)
CFF	Gardner, et al., (1988), "A Survey of Intraocular Gas Use in North America," <u>Arch. Ophthalmol.</u> , 106:1188-1189
CGG	Goldberg et al., <u>Radiology</u> , 177, pp. 713-7 (1990) "Hepatic Tumors . . ."
CHH	Helzel, <u>Fortschr. Rontgenstr.</u> , 140, pp. 337-340 (1984) "Erste Erfahrungen . . ."
CII	Henry-Michelland et al., <u>Colloids and Surfaces</u> , 14, pp. 269-276 (1985) "Lyophilization and Rehydration of Liposomes"
CJJ	Jacobs, "Intraocular gas measurement using A-scan ultrasound," <u>Current Eye Research</u> , Vol. 5, No. 8, 1986, pp. 575-578
CKK	Keller et al, <u>Circulation Res</u> , 65, 458-467 (1989) "The Behavior of Sonicated Albumin . . ."
CLL	Krause et al., <u>International Journal of Pharmaceutics.</u> , 27, pp. 145-155 (1985) "Polylactic acid nanoparticle. . ."
CMM	Levene et al, <u>J. Acoust. Soc. Am.</u> , 87 (Suppl) 69 (1990) "Characterization of Alburnex . . ."
CNN	Lincoff, et al., "Intravitreal Longevity of Three Perfluorocarbon Cases, <u>Arch. Ophthalmology</u> , 98:1610-1611 (1980)
COO	Lincoff, et al., "Intravitreal Expansion of Perfluorocarbon Bubbles," <u>Arch. Ophthalmology</u> , 98:1646 (1980)

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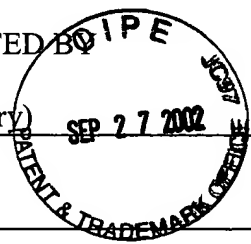


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	CPP	Lincoff, et al., "The Perfluorocarbon Gases in the Treatment of Retinal Detachment," <u>Ophthalmology</u> , 90(5):546-551 (1983)
	CQQ	Lincoff, et al., "Perfluoro-n-butane: A Gas for Maximum Duration Retinal Tamponade," <u>Arch. Ophthalmology</u> , 101:460-463 (1983)
	CRR	K. Makino, "Preparation and in vivo degradation properties...", <u>Chem. Pharm. Bull.</u> , (1985), Vol. 33, No. 3, pp. 1195-1201
	CSS	Mattrey et al., <u>Radiology</u> , 148, pp. 759-762 (Dec. 1982) "UHM Sound; Perfluorooctylbr omide . . ."
	CTT	<u>Chem Abs.</u> , Vol. 102. No. 3, 21 (Jan. 1985), Maynard et al., "Ultrasonic absorption by liposomes"
	CUU	Meltzer, et al., <u>Ultrasound in Med. &amp; Biol.</u> , Vol. 7, No. 4, pp. 377-384, 1981, "Transmission of Ultrasonic Contrast Through the Lungs"
	CVV	Miller et al., <u>J. Amer. Soc. Anesthesiol.</u> , 36 339-351 (1971) "Physicochemical Approaches . . ."
	CWW	Möhwald, <u>Annu. Rev. Phys. Chem.</u> , 41, 441-76 (1990) "Phospholipid and Phospholipid-Protein Monolayers At The Air/Water Interface"
	CXX	Murrell et al, "Properties of liquids and solutions", Wiley, p. 276 (1982)
	CYY	Nomura, et al., "US Contrast Enhancement of Hepatic Tumor with Helium Gas Microbubbles: A Preliminary Report," <u>Jpn. J. Med. Ultrasonics</u> , Vol. 18, No. 5, 1991, pp. 444-450
	CZZ	O'Hara et al, <u>Journal of Membrane Science</u> , 23 (1985) 1-9, "Preparation of ethylcellulose..."
	CAAA	Ohta, et al., <u>Jpn. J. Med. Ultrasonics</u> , Vol. 18, No. 4 (1991), "Effect of the Contrast Agent and the Agitation Met."
	CBBB	Ophir, et al., <u>Ultrasound in Med. &amp; Biol.</u> , Vol 15, No. 4, pp. 319-333, 1989, "Contrast Agents in Diagnostic Ultras."
	CCCC	Ostro in <u>Spektrum d. Wiss</u> , 94-95, 98 (Marz 1987). "Liposomen . . ."
	CDDD	Özer et al., <u>Acta Pharm. Tech.</u> 34, pp. 129-139 (1988) "Influence of Freezing and Freeze Drying on Stability of Liposome . . ."
	CEEE	Park, et al., <u>Journal of Chemical And Engineering Data</u> , Vol. 27, No. 3 (1982), "Solubility of Gases in Liquids. 14. Bunsen Coefficients for Several Fluorine-Containing Gases (Freons) Dissolved in Water at 298.15K"


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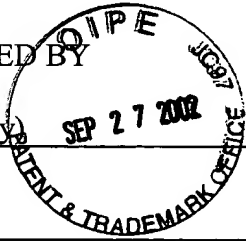
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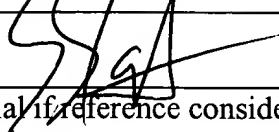
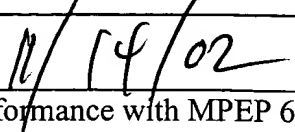
CFFF	Peters et al., <u>Am. J. Ophthalmol.</u> <u>100</u> pp. 831-839 (1985) "The non expansive, equilibrated concentration of perfluoropropane in the eye"
CGGG	Puisieux et al., <u>Bull. Soc. Pharm. Bordeaux</u> , <u>123</u> , pp. 111-126 (1984) "Les Liposomes ..."
CHHH	Rompp Lex. Chemie. 8 Aufl. Stuttgart: Franckh; Bd. Z: Cm-G, (1981): Bd 3; H-L, (1983); Bd 4, M-Pk (1985) "Porositat"
CIII	Rompps Chemie Lexikon, "Phospholipide"
CJJJ	Schlief, <u>Current Opinion in Radiology</u> , 1993, 3:198-207, "Ultrasound Contrast Agents"
CKKK	Schneider, et al., <u>Investigative Radiol.</u> , <u>26</u> (1), pp. S190-91 (1991) "A New Ultrasound Contrast Agent Based on Biodegradable Polymers Microballoon"
CLLL	Schneider, et al., <u>Investigative Radiol.</u> , <u>29</u> (2), pp. S149-51 (1991) "The Use of Polymers Microballoons as Ultrasound Contrast Agent ..."
CMMM	Swanson, pp. 682-687, "Chapter 22: Enhancement Agents for Ultrasound: Fundamentals," <u>Pharmaceuticals In Medical Imaging</u> , (1990)
CNNN	Szoka et al, <u>PNAS</u> <u>75</u> , pp. 4194-4198 (Sept. 1978) "Procedure for preparation of Liposomes ..."
COOO	Tomlinson, <u>Int. J. Pharm. Tech. &amp; Prod. Mfr.</u> , <u>4</u> , pp. 49-57 (1983) "Microsphere Delivery Systems ..."
CPPP	Ulmus et al, <u>Biochem.</u> , <u>21</u> , p. 1553 (1982) "Molecular organization ..."
CQQQ	K. Uno, et al., "A new method of preparing monocored...", <u>J. Microencapsulation</u> , 1984, Vol. 1, No. 1, pp. 3-8
CRRR	Violante, et al., <u>Investigative Radiology</u> , Vol. 26, Nov. Supp 1991, "Particle-Stabilized Bubbles for Enhanced Organ Ultrasound Imaging"
CSSS	Voigt et al., "Lzithine" in <u>Lehrb. d. pharm. Tech. i, uberarb. Aufl. - Weinheim; VCH</u> , p. 367 (1979)
CTTT	Wheatley et al, <u>Biomaterials</u> <u>11</u> , pp. 713-17 (1990) "Contrast agents for diagnostic ultrasound ..."
CUUU	Widder, et al. <u>AJR</u> :147, Aug. 1986, "Microbubbles as Contrast Agent for Neurosonography and Ultrasound ..."
CVVV	Fiedler, <u>Lexikon der Hiffstoffe</u> , 4, Auflage, 1182-85
CWWW	Zhang, et al., <u>J. East China Inst. Chem. Tech.</u> , 12(3) 343-345 (1986) "A Study on the Solubilities of F22 and C2F4 in Aqueous Solutions of HCl and NaCl"

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<p>* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.</p>	

Based on Form PTO-1449 (3/90)  LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)	ATTY. DOCKET NO. 1201-86	SERIAL NO. 09/770,216
	APPLICANTS: Schneider, et al	
	FILING DATE January 29, 2001	GROUP 1617

7	CXXX	Zeghloul et al, <u>Journal de Chimie Physique</u> , 83; 665-671 (1986) "NMR And Microcalorimetry Study Of The Solubility Of Certain Halons And Their Interaction with Human Serum Albumin"
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